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On

*August 5, 2005*

TOWNSEND and TOWNSEND and CREW LLP

By:

*Heidi M. Perkins*

PATENT  
Docket No.: 015358-005500US  
Client Ref. No.: ID-RSV-197

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

JAMEY GRAHAM

Application No.: 09/348,652

Filed: July 6, 1999

For: METHOD AND SYSTEM FOR  
CREATING A DOCUMENT INTEREST  
PROFILE

Examiner: Cesar B. Paula

Art Unit: 2178

STATEMENT OF SUBSTANCE OF  
INTERVIEW

Mail Stop: Amendment  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant would like to thank Examiner Cesar Paula for the telephonic interview regarding this application conducted on August 1, 2005.

The interview participants were Examiner Cesar B. Paula, Applicant's representative Sujit B. Kotwal (Reg. No. 43,336), and Andrew Lee who assisted Mr. Kotwal.

Independent claims 1 and 37 were discussed in light of the rejections raised in the non-final Office Action received for the application dated May 5, 2005.

With respect to claim 1, differences between the claim and reference Wroblewski (USP 5,479,600) were discussed. The Applicant suggested amendments to claim 1 to further clarify the differences between claim 1 and Wroblewski. The

amendment to claim 1 and the related arguments for patentability are documented in the Amendment that is being filed along with this statement. Differences between claim 1 and references Aalbersberg (U.S. Patent 5,946,678) and Ball et al. ("Software Visualization in the Large", IEEE Computer, vol. 29, No. 4, pp. 33-43; "Ball") were also discussed. The Examiner indicated that the Applicant-suggested amendment to claim 1 would make claim 1 patentable over Wroblewski, Aalbersberg, and Ball.

With respect to claim 37, differences between the claim and reference Aalbersberg were discussed. The Applicant suggested amendments to claim 37 to further clarify the differences between claim 37 and Aalbersberg. The amendment to claim 37 and the related arguments for patentability are documented in the Amendment that is being filed along with this statement. Differences between claim 37 and references Wroblewski and Ball were also discussed. The Examiner indicated that the Applicant-suggested amendment to claim 37 would make claim 37 patentable over Wroblewski, Aalbersberg, and Ball.

#### CONCLUSION

In view of the foregoing, Applicant believes that the claims are in a condition for allowance.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 650-326-2400 (x5265).

Respectfully submitted,



Sujit B. Kotwal  
Reg. No. 43,336

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SBK:sbk / 60553616 v1

AUG 08 2005

PTO/SB/17 (12-04)

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180

### Complete if Known

Application Number	09/348,652
Filing Date	July 6, 1999
First Named Inventor	Graham, Jamey
Examiner Name	Cesar B. Paula
Art Unit	2178
Attorney Docket No.	015358-005500US

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

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### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

Fee Description	Small Entity	
	Fee (\$)	Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
-20 or HP =	x	=				

HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
-3 or HP =	x	=	

HP = highest number of independent claims paid for, if greater than 3

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

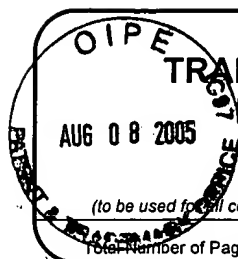
Other: Submission of Information Disclosure Stmt

Fees Paid (\$)

180

### SUBMITTED BY

Signature	S. B. Kotwal	Registration No. (Attorney/Agent)	43,336	Telephone	650-326-2400
Name (Print/Type)	Sujit B. Kotwal			Date	August 5, 2005

	Application Number	09/348,652	
	Filing Date	July 6, 1999	
	First Named Inventor	Graham, Jamey	
	Art Unit	2178	
	Examiner Name	Cesar B. Paula	
Total Number of Pages in This Submission	53*	Attorney Docket Number	015358-005500US

ENCLOSURES (Check all that apply)				
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement of Substance of Interview, PTO Form SB08A and SB08B, Two (2) IDS References, Return Postcard,		
<table border="1"> <tr> <td>Remarks</td> <td>The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.</td> </tr> </table>			Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.			
*Does not include page count for IDS references.				

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature	<i>S. B. Kotwal</i>		
Printed name	Sujit B. Kotwal		
Date	August 5, 2005	Reg. No.	43,336

## CERTIFICATE OF TRANSMISSION/MAILING

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Signature	<i>Krista K. Merrimac</i>		
Typed or printed name	Krista K. Merrimac	Date	August 5, 2005